

8-20-04

Attorney Docket No. 101.0112-01000 Customer No. 22882

Express Mail Label No. ED149927685US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
Gary K. Michelson, M.D.	)	Confirmation No.: 6717
Serial No.: 10/809,242	)	
Filed: March 25, 2004	)	Group Art Unit: 3732
For: INSTRUMENTATION FOR USE WITH	)	·
DYNAMIC SINGLE-LOCK ANTERIOR	)	
CERVICAL PLATE SYSTEM HAVING	)	
NON-DETACHABLY FASTENED AND	)	
MOVEABLE SEGMENTS	)	

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

IFW

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-1066.

Respectfully submitted,

MARTIN & FERRARO, LLP

Date: August 18, 2004

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## CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

Express Mail Mailing Label No. ED149927685US Date of Deposit: August 18, 2004

I hereby certify that:

- 1. Information Disclosure Statement Under 37 C.F.R. § 1.97(b)
- 2. Form PTO-1449
- 3. Self-addressed return postcard receipt

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Date: August 18, 2004

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OMB 0651-0031 Express Mail Label No. ED149927685US **Customer No. Attorney Docket Number** Substitute for FORM PTO-1449 101.0112-01000 22882 **Applicant Application Number** INFORMATION DISCLOSURE CITATION IN AN APPLICATION 10/809,242 Gary K. Michelson, M.D. lse several sheets if necessary) **Filing Date Group Art Unit** Examiner AUG 1 9 2004 (P. Philogene) 3732 March 25, 2004 Sheet \_1\_ of \_1\_ **U.S. PATENT DOCUMENTS FILING DATE IF** EXAMINER **DOCUMENT NUMBER** DATE NAME **CLASS SUBCLASS APPROPRIATE** INITIAL 7/1977 4,034,418 Jackson et al. 5/1988 4,743,256 Brantigan 12/1992 5,167,665 McKinney 9/1997 Seldin 5,672,177 6,045,554 4/2000 Grooms et al. 6,217,580 4/2001 Levin 5/2001 Michelson 6,224,607 5/2002 Songer et al. 6,395,030 6,558,686 5/2003 Darouiche **FOREIGN PATENT DOCUMENTS TRANSLATION** COUNTRY **CLASS SUBCLASS DOCUMENT NUMBER** DATE (YES/NO) OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

**DATE CONSIDERED** 

**EXAMINER**